

## Listing Agreement

Record Id:

### GENERAL COMPANY INFORMATION

---

Company Name \*

Symbol

Please provide your contact information for this form.

Name \*

Title/Firm

Phone \*

Email \*

### LISTING AGREEMENT

---

("Company"), in consideration for the listing of its securities on The Nasdaq Stock Market, hereby agrees with The Nasdaq Stock Market LLC (collectively, with its affiliates, "Nasdaq") that:

1. Company certifies that it understands and agrees to comply with all Nasdaq® rules, as they may be amended from time to time, and pay all applicable listing fees when due.
2. Company agrees to promptly notify Nasdaq in writing of any corporate action or other event which will cause Company to cease to be in compliance with Nasdaq listing requirements.
3. Company understands that Nasdaq may remove its securities from The Nasdaq Stock Market, pursuant to applicable procedures, if it fails to meet one or more requirements of Paragraphs 1-2.
4. Company understands that if an exception to any of the provisions of any of the Nasdaq rules has been granted by Nasdaq, such exception shall, during the time it is in effect, supersede any conflicting provision of this Listing Agreement.

5. Company warrants and represents that any trading symbol requested to be used by Company does not violate any trade/service mark, trade name, or other intellectual property right of any third party. Company agrees and understands that a trading symbol is provided to Company for the limited purpose of identifying Company's security in authorized quotation and trading systems and that Company has no ownership rights in the trading symbol. The assignment and use of a trading symbol is governed by the National Market System Plan for the Selection and Reservation of Securities Symbols, as may be amended from time to time.
6. Company agrees to hold harmless and indemnify Nasdaq (and its officers, directors, employees and agents) against any and all claims and losses, including but not limited to costs and attorneys' fees, resulting from, suffered, or incurred as a result of any third party's claim or litigation relating to the infringement of any trade/service mark, trade name, or other intellectual property right related to or arising out of Nasdaq's use of Company's trading symbol, corporate logos, Web site address, trade names, and trade/service marks in accordance with the terms of this Listing Agreement

#### **Nasdaq Warranties-Disclaimers of Warranties.**

For any goods or services provided to Company, Nasdaq shall endeavor to provide them in a good and workmanlike manner. Beyond the warranties stated in this section, there are no other warranties of any kind, express, implied or statutory (including the implied warranties of merchantability or fitness for a particular use or purpose).

#### **Limitation of Liability.**

1. In no event will Nasdaq be liable for any trading losses, loss of profits, indirect, special, punitive, consequential, or incidental loss or damage, even if Nasdaq has been advised of the possibility of such damages. If Nasdaq is, for any reason, held liable for any of the above, the liability of Nasdaq is limited:
  - a. for goods and services for which Company is specifically charged, to the amount paid by Company for those goods or services during the twelve (12) months preceding the accrual of the claim; and
  - b. in all other instances, to the amount of the annual listing fee paid by Company during the twelve (12) months preceding the accrual of the claim.
2. Notwithstanding the foregoing, Nasdaq shall not be relieved from liability for damages that result from Nasdaq's gross negligence or willful tortious misconduct, or from personal injury or wrongful death claims.
3. For goods and services provided under a separate written agreement, the limitation of liability provisions in that agreement shall govern any claims relating to or arising from the provision of those goods and services.
4. Under no circumstances shall Nasdaq have any liability for any third party's goods and/or services.
5. Company and Nasdaq agree that these terms reflect a reasonable allocation of risk and limitation of liability.
6. This Listing Agreement shall be deemed to have been made in the United States, in the State of New York and shall be construed and enforced in accordance with the laws of the State of New York, without reference to principles of conflicts of laws.

#### **AFFIRMATION**

---

User Id

Name \*

Title/Firm \*

Date \*



Initials \*

I have been authorized by the Company and have the legal authority to provide information on the Company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and I will promptly notify Nasdaq of any material changes. I understand that the Company has a continuing duty to update Nasdaq whenever there is an addition to or change in information previously furnished.

Form Created By: on ; Form Last Updated By on , Form Owned By:

\* Indicates a field required for submission.